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FACSIMILE TRANSMITTAL

DATE: May 19, 2005 RE: U.S. Patent Application No. 10/017,678 TO: Commissioner of Patents FILED: December 13, 2001 FOR: WATERMARK ENABLED VIDEO OBJECTS FAX: 703-872-9306 **ART UNIT: 2621** FROM: Steven W. Stewart DOCKET NO .: P0509L PAGES: 17 (including cover page) ☐ Please Reply ✓ Urgent ☐ For Review FACSIMILE COVER LETTER Attached is a Letter and Interview Summary, Terminal Disclaimer and copy of the Information Disclosure Statement filed May 9, 2005, for the above referenced matter. Please charge \$130.00 (fee for terminal disclaimer) and any additional fees which may be required in connection with filing this paperwork and any extension of time, or credit any overpayment, to Deposit Account No. 50-3284. CERTIFICATE OF FAXING I hereby certify that these papers are being facsimile transmitted to the US Patent Office, 703-872-9306 on May 19, 2005. Attorney for Applicant If you do not receive all pages or if you have problems receiving transmittal, please call us at 503-469-4800. The information contained in this fax is confidential and may be regally privileged. It is intended solely for the addressee. Access to this fax by anyone else is not authorized. If you have not the intended recipient, any disclosure, copying, discribution or any action you take or fail to take in rellance on it, is prohibited and may be unlawful.

T-499 P.002 F-281 PATENT 10/017,678

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Geoffrey B. Rhoads

Application No.: 10/017,678

Filed: December 13, 2001

For: WA

WATERMARK ENABLED VIDEO

**OBJECTS** 

Examiner: S. Nakhjavan

Date: May 19, 2005

Art Unit: 2621

MAY 1 9 2005

Conf. No.: 9753

CERTIFICATE OF TRANSMISSION

I hereby certify that theses papers are being facsimile transmitted to the US Patent and Trademark Office at 703-872-9306 on May

19, 20<u>05.</u>

Steven W. Stewart, Reg. No. 45,133

Attorney for Applicant

# **Letter and Interview Summary**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Out of an abundance of caution only, a terminal disclaimer is submitted herewith over commonly-owned U.S. Patent No. 6,590,997 (the "'997 patent") and any patent issuing from co-pending U.S. Patent Application No. 10/617,571.

A terminal disclaimer was previously filed on September 20, 2004, which addressed the '997 patent. We see in PAIR that this earlier TD has <u>not</u> been accepted, so we have included it here again.

There may be some confusion as to the title of the application. The September 20, 2004 amendment sought to amend the title. To date, it appears that the title amendment has not been entered. Thus, the accompanying terminal disclaimer and this paper are titled as the original title. We ask, however, that the title will be changed as requested in our September 20, 2004 amendment.

We greatly appreciate the courtesies extended by Examiner Nakhjavan during our May 17, 2005 interview. As a statement of the substance of the interview, we discussed our newly submitted claims in regards to commonly owned U.S. Patent No. 5,862,260, relative to one of our priority documents, application no. 08/508,083.

We are resubmitting an Information Disclosure Statement (filed May 9, 2005) since we do not see it listed on PAIR.

The application is believed ready for allowance. Nevertheless, the Examiner is invited to telephone the undersigned at 503-469-4685 if any questions arise.

Date: May 19, 2005

Respectfully submitted,

Customer No. 23735

DIGIMARC CORPORATION

Phone: 503-885-9699 FAX: 503-885-9880

Steven W. Stewart Registration No. 45,133



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PATENT ATTY. REF, NO, P0509L

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Rhoads et al.

Serial No.: 10/017,678

Filed: December 13, 2001

Examiner: S. Nakhjavan

For: WATERMARK ENABLED VIDEO

**OBJECTS** 

Date: May 9, 2005

Art Unit 2621 Conf. No.: 9753

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Steven W. Stewart, Reg. No. 45,133

Attorney for Applicant



# INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Applicants submit herewith information of which they are aware, and which they believe may be material to the examination of the application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. The information is listed on the attached Form PfO-1449.

The submission of information on the attached Form PTO-1449 is not intended as an admission that any such information constitutes prior art against the claims of the application under examination. Applicants do not waive any right to take any appropriate action to antedate or otherwise remove any information from the attached Form PTO-1449.

No fee is required since this document is being submitted prior to the date of a first Office action.

If a first Office action on the merits was mailed prior to the mailing of this Information Disclosure Statement, please charge to Deposit Account No. 50-3284 any fees that may be required for considering this Information Disclosure Statement under 37 C.F.R. § 1.17(p).

Respectfully submitted,

Date: May 9, 2005

DIGIMARC CORPORATION

**CUSTOMER NUMBER 23735** 

Phone: 503-469-4800 FAX 503-469-4777

Steven W. Stewart Registration No. 45,133 INFORMATIONDocket: P0509Ser. No. 10/017,678DISCLOSUREApplicant: RhoadsSTATEMENTFiled: 12/13/01Group: 2621

	<u>,                                      </u>	US Patent Dox	tuments	
Êx'r Initial	Number	Date	Inventor	Class
	5459583	10/17/95	Nakata	
	5748763	5/5/98	Rhoads	
	5765152	6/9/98	Erickson	
-	5841978	11/24/98	Rhoads	
-	5845281	12/1/98	Benson et al.	
	5910987	6/8/99	Ginter et al.	
	5943422	8/24/99	Van Wie et al.	
	6112008	8/29/00	Sugita et al.	
	6433946	8/13/02	Ogino	
	6590997	7/8/03	Rhoads	1
	20040240846	12/2/04	Cookson et al.	
	20050024536	2/3/05	Cookson et al.	<u> </u>
	20050031156	2/10/05	Rhoads	
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/40144/			571 (published as 2005003)	1156\
	14245 2, 2002, All	ommitted in 10/01/ <sub>5</sub> -	771 (punitation 45 200000)	120).
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Date Considered:	

<sup>\*</sup>Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

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MAY-08-2005 |4:67 7-456 P 881 5-168 DIGIMARC 040n GW Gemai Diris, Banassan, CA 97002 UBA E +) 503,463,4600 K +1 603,469,4777 seek,ágada FACGIUILE TRANSMITTAL PATE: May 6, 2005 RE: U.S. Patent Application No. 10/617,571 TO: Commissioner of Patents FRED July 11, 2000 FOR: VIDEO STEGANOGRAPICY PAX 703-872-9306 FROM: Stores W. Stores ART UNIT: 2621 DOCKET NO.: HORSAL ☐ For Review ☐ Please Reply FACHDAILE COVER LETTER Attached is a Third Preliminary Amendment, 1118 and Form PTO-1445 for the chows retrieve matter. No feet are technical due, however, please charge any feet which may be required in companion with filing these papers and any extension of time, or credit may everyayaren, to Deposit Account No. 50-37214. CLETTEICATZ OX FORING d≥ US F= caps Office, 703-872-0306 np. If you do not receive all pages or if you have profitent anadyling transmitud, places call to a 100-169-1660. PAGE V11 \* RCYD AT SECRIS \$132.09 PM (EXStern Dayford) Title" - EVR. USPTO-EF1285-th \* Daye 4/75006 \* CSD: +363460477 \* DURATION BY 102+1500-th





9405 SW Gemini Drive, Beaverton, OR 97008 USA T. +1 503.469.4800 F. +1 503.469.4777 www.diglmarc.com

FACSIMILE TRANSMITTAL

DATE: May 6, 2005

**TO: Commissioner of Patents** 

FAX: 703-872-9306

FROM: Steven W. Stewart

PAGES: 11

✓ Urgent

RE: U.S. Patent Application No. 10/617,571

FILED: July 11, 2003

FOR: VIDEO STEGANOGRAPHY

ART UNIT: 2621

DOCKET NO.: PO854L

COPY

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☐ For Review ☐ Please Reply

#### FACSIMILE COVER LETTER

Attached is a Third Preliminary Amendment, IDS and Form PTO-1449 for the above referenced matter. No fees are believed due, however, please charge any fees which may be required in connection with filing these papers and any extension of time, or credit any overpayment, to Deposit Account No. 50-3284.

#### **CERTIFICATE OF FAXING**

I hereby certify that these papers are being facsimile transmitted to the US Patent Office, 703-872-9306 on May 6, 2005.

Steven W. Stewart, Reg. No. 45,133

Attorney for Applicant

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PATENT ATTY. REF. NO. P0854L

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Art Unit 2621

Geoffrey B. Rhoads

Conf. No.: 3541

Serial No.: 10/617,571

CERTIFICATE OF FAXING

Filed: July 11, 2003

I hereby certify that theses papers are being facsimile transmitted to the US Patent Office, 703-872-9306 on May 6, 2005.

Examiner: A. Johns

Steven W. Stewart, Reg. No. 45,133

VIDEO STEGANOGRAPHY

Attorney for Applicant

Date: May 6, 2005

# THIRD PRELIMINARY AMENDMENT

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the subject application as follows:

SWS:hmp 5/6/05

PATENT ATTY, REF. NO. P0854L

# In the Claims

- 1-45 (canceled).
- 46. (previously presented): A video processing method comprising:
- (a) receiving video content, said content comprising first and associated second data, said first data representing video picture elements to be rendered for display to a consumer, the second data not representing video picture elements to be rendered for display to the consumer;
  - (b) decoding information steganographically encoded in the first data; and
- (c) making a determination concerning said video by reference to said decoded information and said second data.
- 47. (previously presented): The method of claim 46 in which said determination relates to whether a recording capability should be enabled or disabled.
- 48. (previously presented): The method of claim 46 in which (c) includes checking reliability of said second data by reference to said decoded information.
- 49. (previously presented): The method of claim 46 in which the received first data is steganographically encoded by a method that adapts encoding of the information to features of the video, to reduce visible distortion of the video.

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PATENT ATTY. REF. NO. P0854L

- 50. (previously presented): The method of claim 46 in which the received first data is steganographically encoded by a method that manifests said encoding of the information as an increase in luminance of certain video picture elements, and as a decrease in luminance of certain other video picture elements.
- 51. (previously presented): The method of claim 46 in which the second data comprises video management information.
- 52. (previously presented): The method of claim 46 in which the second data comprises metadata related to said visual information.
- 53. (previously presented): The method of claim 46 in which said first and second data are received in a data structure comprising header data and compressed video information.
- 54. (previously presented): The method of claim 53 in which said data structure comprises a file.
- 55. (previously presented): In a data structure stored in a tangible medium, the data structure including first and second data, the first data representing video to be rendered for display to a consumer, the second data comprising associated information that does not represent video to be rendered for display, an improvement wherein the first data conveys a steganographically encoded signal useful in assuring reliability of said second data.

SWS:imp 5/6/05

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PATENT ATTY. REF. NO. P0854L

- 56. (previously presented): The data structure of claim 55 in which the first data represents video in compressed form.
- 57. (previously presented): The data structure of claim 55 in which the first data represents video in MPEG compressed form.
- 58. (previously presented): The data structure of claim 55 in which the first data comprises a stream of video.
- 59. (previously presented): The data structure of claim 55 in which the second data comprises administrative information concerning said video.
- 60. (previously presented): The data structure of claim 55 in which said steganographic encoding comprises subtle changes to the luminance of the video.
- 61. (previously presented): The data structure of claim 60 in which said subtle changes in luminance are not all uniform, but rather are adapted to the video so as to reduce visibility of said the encoded signal.

62-66. (canceled).

SWS:hmp 5/6/05

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PATENT ATTY, REF. NO. P0854L

67. (new): A video processing method comprising:

obtaining video content, said video content comprising first data and associated second data, said first data representing video picture elements to be rendered for display to a consumer. the second data not representing video picture elements to be rendered for display to the consumer; and

steganographically encoding information in the first data,

whereby a determination concerning said video content can be made by reference to either of said encoded information and said second data.

- 68. (new): The method of claim 67 in which said determination relates to whether a recording capability should be enabled or disabled.
- 69. (new): The method of claim 67 in which the determination includes checking reliability of the second data by reference to the information.
- 70. (new): The method of claim 67 in which the first data is steganographically encoded by a method that adapts encoding of the information to features of the video, to reduce visible distortion of the video.

SWS:http 5/6/05

PATENT ATTY. REF. NO. P0854L

- 71. (new): The method of claim 67 in which the first data is steganographically encoded by a method that manifests the encoding of the information as an increase in luminance of certain video picture elements, and as a decrease in luminance of certain other video picture elements.
- 72. (new): The method of claim 67 in which the second data comprises metadata related to the first data.
- 73. (new): The method of claim 67 in which the first data and second data are received in a data structure comprising header data and compressed video information.
  - 74. (new): The method of claim 73 in which said data structure comprises a file.
  - 75. (new): A method comprising:

receiving video, wherein authentic video comprises in-band information and associated out-of-band information;

looking for out-of-band information associated with the received video;
looking for in-band information associated with the received video; and
based at least in part on a result of said looking for out-of-band information and said

76. (new): The method of claim 75 in which the conclusion relates to whether a recording capability should be enabled or disabled.

looking for in-band information, reaching a conclusion regarding the received video.

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PATENT ATTY. REF. NO. P0854L

- 77. (new): The method of claim 75 in which the conclusion relates to a reliability of the out-of-band information.
- 78. (new): The method of claim 75 in which the in-band information is steganographically encoded by a method that adapts encoding of the in-band information to features of the video, to reduce visible distortion of the video.
- 79. (new): The method of claim 75 wherein the in-band information comprises steganographic encoding.
- 80. (new): The method of claim 75 in which the in-band information is steganographically encoded by a method that manifests encoding of the information as an increase in luminance of certain video picture elements, and as a decrease in luminance of certain other video picture elements.
- 81. (new): The method of claim 75 in which the out-of-band information comprises video management information.
- 82. (new): The method of claim 75 in which the out-of-band information comprises metadata related to the video.

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PATENT ATTY, REF. NO. P0854L

# REMARKS

# Claim Status:

After entry of the foregoing amendment, claims 46-61 and 67-82 are pending in the application.

Claims 1-45 and 62-66 are canceled herein without prejudice to the subject matter recited therein. We reserve the right to present these claims in one or more continuing applications.

Claims 67-82 are newly added to even more fully protect applicant's inventive work.

# Personal Interview:

The undersigned looks forward to our upcoming interview on May 17, 2005.

# Information Disclosure Statement:

An Information Disclosure Statement and Form 1449 are filed concurrently herewith. Favorable consideration is respectfully requested.

### Conclusion:

The Examiner is invited to contact the undersigned with any questions.

Respectfully submitted,

Customer Number 23735

DIGIMARC CORPORATION

Phone: 503-469-4685 FAX: 503-469-4777

Date: May 6, 2005

Steven W. Stewart

Registration No. 45,133